## Certified Naval Battle Groups





Battle Force Interoperability (BFI) Operation Advisory Group (OAG)

Tom Irwin
Naval Surface Warfare Center, Dahlgren, Virginia

NDIA System Engineering Conference Oct 21-24 2002



# Interoperability Issues Impact BG Operations

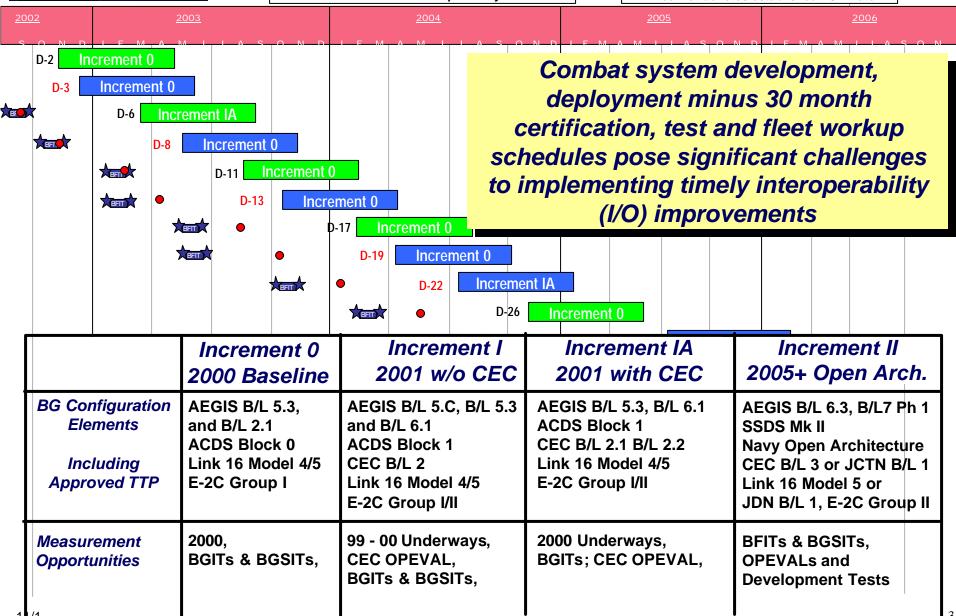
- Battle Groups (BG) deployed with both legacy and new ships (combat/C4I systems)
- The Navy is aggressively fielding new ships, C4I systems, technologies to meet warfare needs, ie. CEC
- Legacy ships and systems still and will continue to play a critical role in BG operations
- Legacy ship C4I systems need investment to:
  - Improve Battle Force capability
  - Achieve desired mission capability
  - Optimize Battle Force performance/impact of new ships, systems and technologies
  - Allow new systems to realize design objectives

Fleet and engineer partnership needed to resolve interoperability shortfalls

Red Dot denotes established TCD Date



## BFI OAG Drivers (Schedules & Configurations)



Stars denote BF Interoperability Test Dates



#### **Events**

D-12

**D-6 (TCD)** 

**D-0** 

**Deployment** 



#### BFI OAG Issue Sources

D-30	Issue Sources	Source Owner						
D-12	BFIT (DEP) 16 D-30 BG Events	NSWCDD						
D-12	BG Limitations from Capabilities & Lims	PHCA						
D-6	BGSIT (E) 1995-Present	CLF						
D-6	BGSIT (W) 1995-Present	CPF						
D-6	NCTSI / JITC	NCTSI / JITC						
D+	Navy Lessons Learned System (NLLS)	NWDC						
D+	BG Post-Deployment Briefs	PEO-TSC/ PMS400						
D+	AAW Conference Briefs	TACTRAGRU						
D+	Fleet Messages (E-2C, AEGIS, ACDS, etc.)	ISEA (various)						
D+	AEGIS Lessons Learned	NSWCDD						

## Numerous Independent Uncoordinated Databases; No Single Source of Consolidated Fleet Issues

- Combine sources to single file (~5,300)
  - Includes open, closed and duplicate issues
- Categorize Open Issues (~1,200)
  - Does not include Open-C and Open-E Issues
  - 21 AAW Categories Created

Plus some additional Issues from the Software Support Activities databases



#### **BFI OAG Mission**



THE DEPUTY SECRETARY OF DEFENSE

WASHINGTON, D.C. 20301

OCT 12 2001

MEMORANDUM FOR SECRETARIES OF THE MILITARY DEPARTMENTS CHAIRMAN OF THE JOINT CHIEFS OF STAFF

UNDER SECRETARIES OF DEFENSE
DIRECTOR, DEFENSE RESEARCH & ENGINEERING
ASSISTANT SECRETARIES OF DEFENSE
GENERAL COUNSEL, DEPARTMENT OF DEFENSE
INSPECTOR GENERAL, DEPARTMENT OF DEFENSE
DIRECTOR, OPERATIONAL TEST & EVALUATION
ASSISTANTS TO THE SECRETARY OF DEFENSE
DIRECTOR, ADMINISTRATION & MANAGEMENT
DIRECTORS OF THE DEFENSE AGENCIES

SUBJECT: Command and Control (C2) Legacy Interoperability Strategy and Milestone Action Plan

I am committed to resolving the problems of legacy C2 interoperability in an expeditious and cost effective manner. Therefore, I am establishing a goal for the Department to have legacy systems interoperable, with respect to critical command and control functions by the end of Fiscal Year (FY) 2008. The Department has worked diligently to put appropriate policy and procedures in place to achieve the level of interoperability required to effectively conduct modernized military and business operations. A revision to Department of Defense (DOD) Directive 4630.5, Interoperability and Supportability of Information Technology (IT) and National Security Systems (NSS), is necessary to clearly define the roles and responsibilities of all DOD components, to achieve the interoperability of information technology and national security systems throughout the Department. This must be coordinated and presented for my signature within 30 days from the date of this memorandum. Accordingly, I direct the following actions:

a. Under Secretary of Defense (Acquisition Technology & Logistics) (USD (AT&L)): Review the current Defense Acquisition System and define, in coordination with the CIO and the Joint Staff, additional provisions necessary to support the achievement of interoperability among systems as a life cycle responsibility. Implement required changes by March 29, 2002.

#### **Fundamental BFI OAG Elements:**

- Legacy C2 Interoperable by FY08
- Ensure PPBS considers legacy I/O funding requirements
- Develop metrics for operationally determining success in overcoming legacy C2 I/O issues

The Navy's Leadership is committed to resolving the Interoperability issues. The BFI OAG is the mechanism.



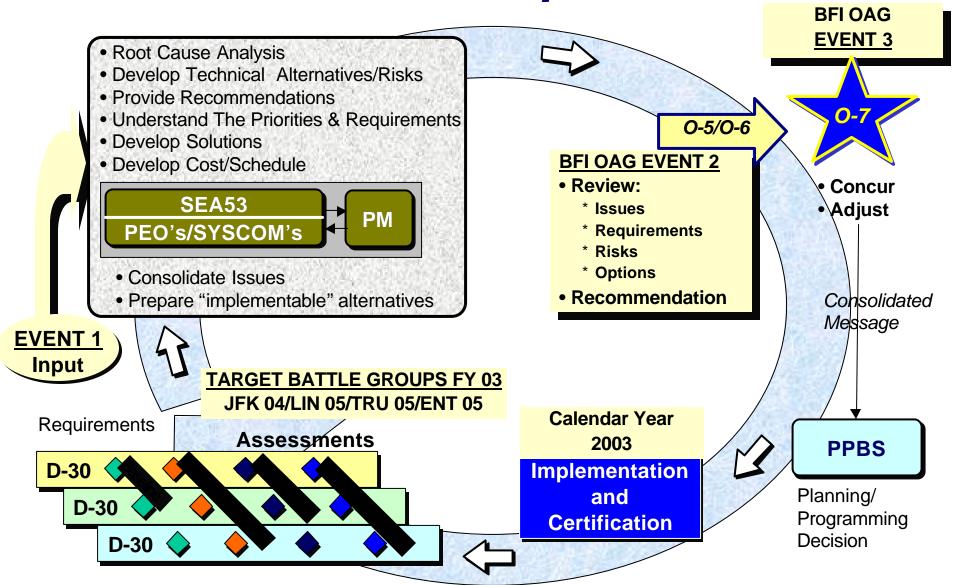
### BFI OAG Approach

- Promote the use of disciplined systems engineering principles to set priorities and allocate funding for measurable incremental interoperability improvements to USN systems (initially AAW focused)
- Produce plans, measure predicted impact and implement corrections to known Battle Force Interoperability problems
  - Support DEPSECDEF C2 Legacy Interoperability Strategy and Milestone Action Plan of 12 October 2001
  - Satisfy OPNAV N76 (RADM Balisle) direction of 21 August 01
  - Satisfy Fleet direction (JFK BFIT TRR) on BFI resolution of 31 May 01
- Prioritize Top BFI issues for correction
- Impact near term Battle Force (BF) deployments

Measurable BF Interoperability Performance Improvements



## BFI OAG Annual Closed-Loop Process





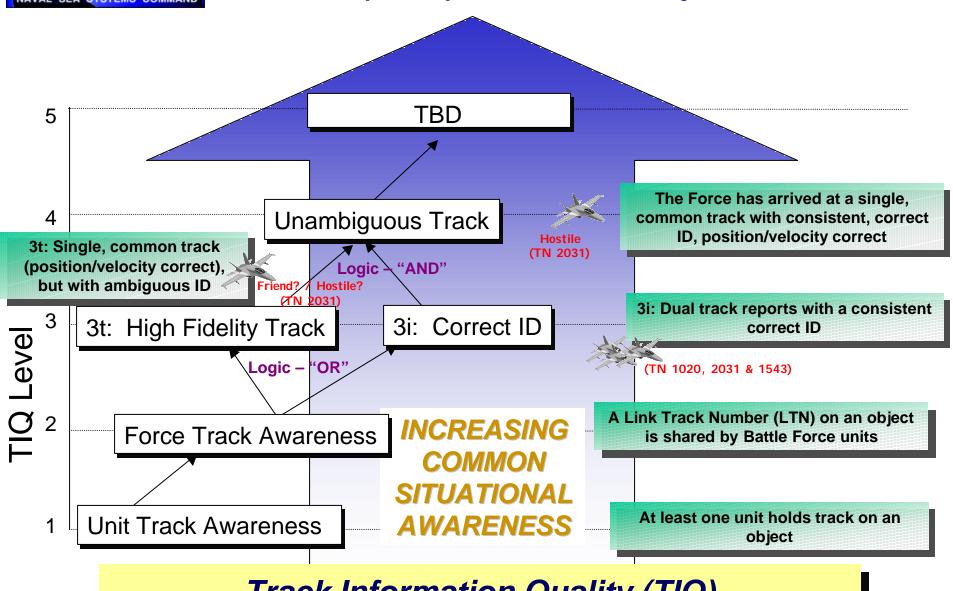
# Interoperability Issues Categorized

Interoperability Fix Category	Fleet Composite Ranking
MODE 4 INCORRECT (Management)	1
ID DOCTRINE PROBLEMS	2
EXCESSIVE TN CHANGES	3
GRID LOCK (DATA REGISTRATION)	4
SIF INCORRECT (Management and Alerts)	5
TRACKS NOT DISPLAYED ON ALL TRACKS	6
TRACKS NOT CORRELATING	7
ID MANAGEMENT & CONFLICT ALERTS	8
REDUNDANT LOCAL TRACKS	9
TRACKING (INCLUDE DROP TRACKS DOCTINE)	10
REPORTING RESPONSIBILITY CONTENTION	11
FORCE ORDERS PROBLEMS	12
ENGAGMENT STATUS PROBLEMS	13
TRACK FILTER PROBLEMS	14
INCORRECT TRACK QUALITY REPORTED	15
DI CODE ANOMALY	16
EXCESSIVE NCT	17
AIR CONTROL	18
EMERGENCY POINTS/TRACKS	19
OP SPEC VIOLATION	20
REFERENCE POINTS	21

The Goal Is to Apply Our Limited Resources to Categories
That Provide the Force With the Most Improvement



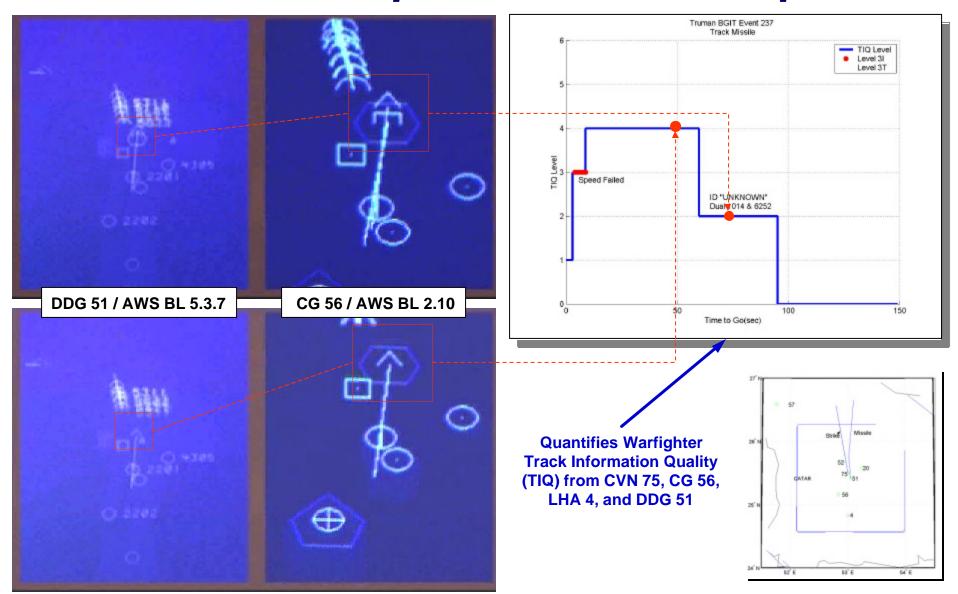
## Battle Force Interoperability Requirements (BFIR) AAW Summary Metric



Track Information Quality (TIQ)

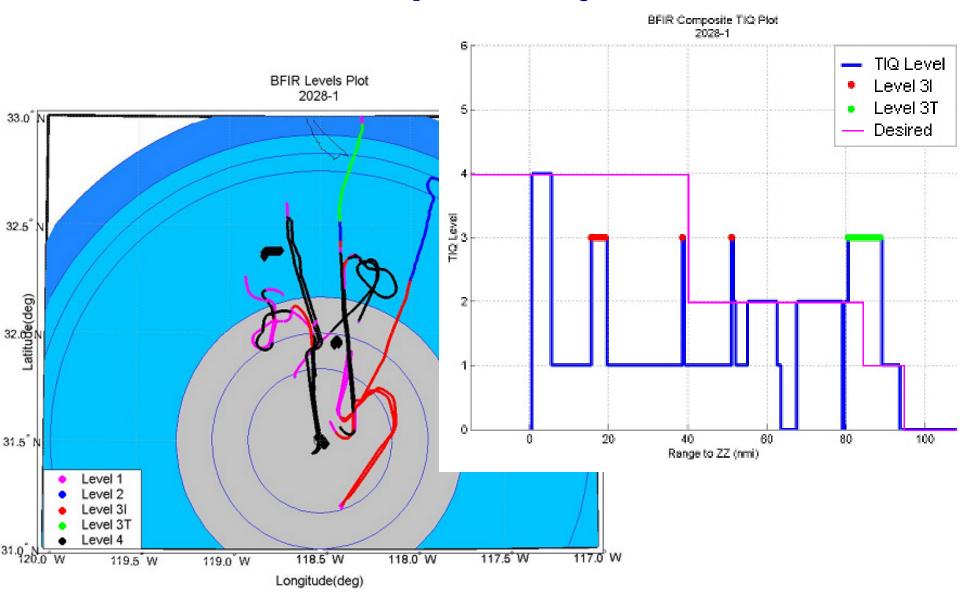


## TIQ Operator Relationship



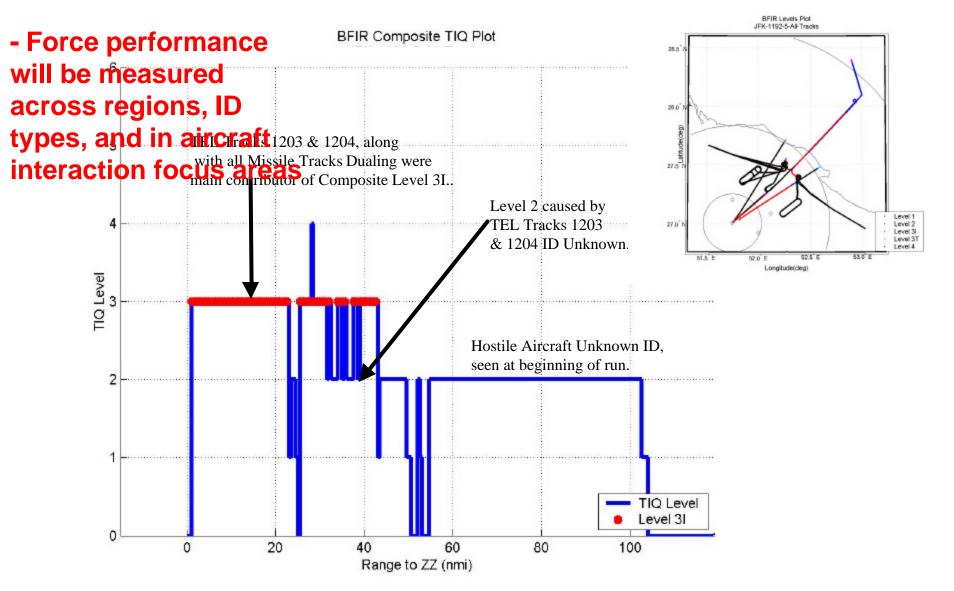


# At-Sea Battle Group (BG) Interoperability Performance



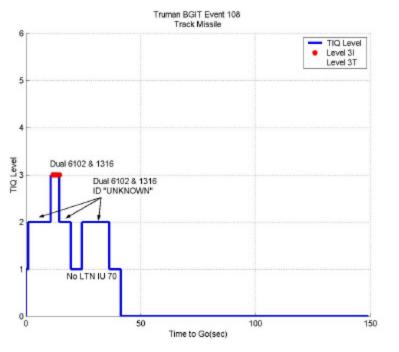


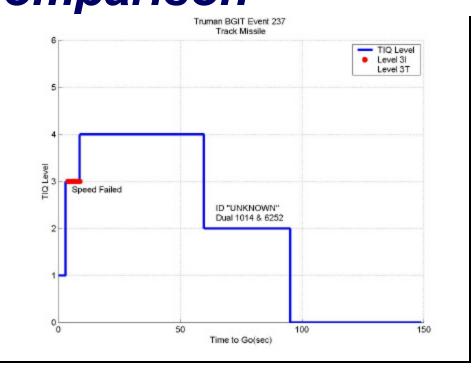
## BG Interoperability Performance Distributed Engineering Plant (DEP)





# Configuration/Software Comparison





#### **EVENT 0237 GMT EVENT 0108 GMT BG UNITS: \*CVN 75 (Data Forwarder) BG UNITS: \*CVN 75 (Data Forwarder)** \*LHA 4 \*LHA 4 \*CG 56 \*CG 56 **DDG 51 (GRU) DDG 51 (GRU)** Computer program upgrade from AEGIS \*DDG 57 \*DDG 57 5.3.6 to AEGIS 5.3.7 (AWS+C2P+SGS) on 2 **DD 978 DD 978** platforms. Same T&P Used. **FFG 52 FFG 52** E-2C / GRP II E-2C / GRP II \* CS data extraction used for analysis

Demonstration of Measurable Improvement



#### **BFIR Metrics**

#### Provides:

- Evolving AAW Force performance evaluation with respect to the war fighting interoperability requirement
- Disciplined analysis process
- A force performance and predictive engineering yard stick:
  - ➤ Baseline/configuration pre-deployment evaluation (via Deployment (D-30 month) process)
  - ➤ Change prioritization
  - ➤ Techniques, Tactics & Procedures (TTP) Evaluation
  - ➤ Operational Link employment feedback/guidance
  - > Requirements/predictive engineering and alternative comparison
- Seventeen BG event database (and growing) provides cornerstone to Force performance evaluation and engineering impact

## Top Near-Term BFI

Issues

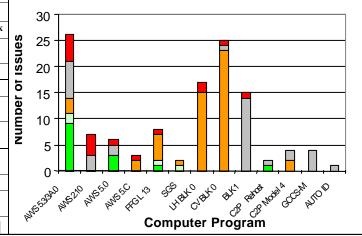
7 8	DIFFE DIFFE	CORR	X CONS	Program	(TRs)	Dual Trks	ID Diff	Trk Corr	ID Corr	Trk Cons	TN Stab
x				AWS 2.10	(3)	2	1	2		1	
			<u> </u>	AWS 5.3.7/3/	A.0.9 (6)	2	1	2		1	
TP	mel	nts		ACDS BK 0	(24)	4	9	5	4	4	3

#### FY 02 Selection Criteria:

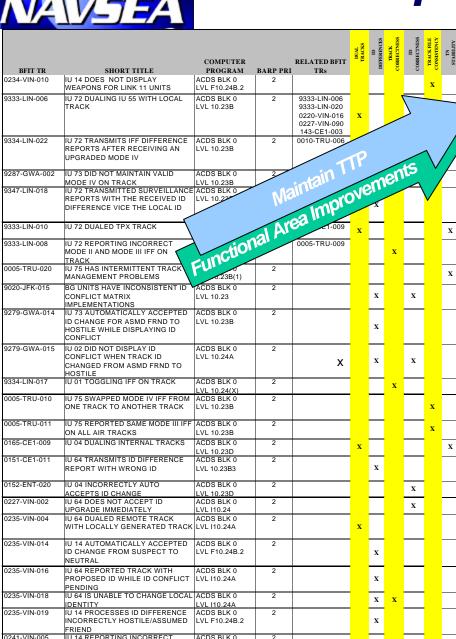
- Maturity of Implementation Lifetime (past 2007) Requirement
- **Code Impact**

- Re-occurrence
- **Level of Multi-System** Integration
- Impact to Operator

#### **Disposition of Fleet Top Ranked BGIT Issues**



- Program Office declared Invalid - Issue IAWS, Not a Platform Req., More Data Needed, etc.
- Pending Current status indication of NONE
- Converted to Platform TR
- Correction Coded, Awaiting Completion of System Test
- Correction Fielded Correct May have been in process Prior to BGIT



D

В

Ε

C

В

E

В

В

В

Α

- Passed Testing

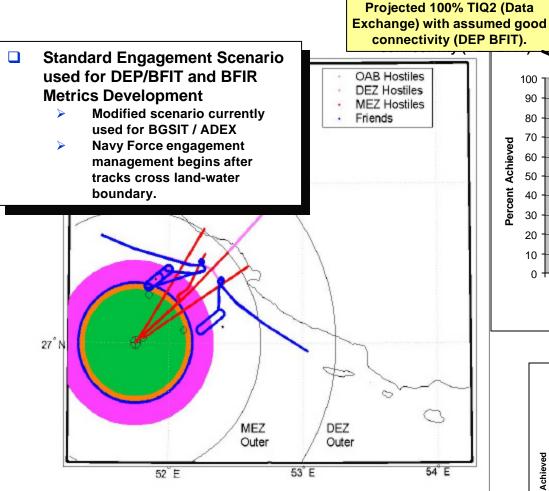
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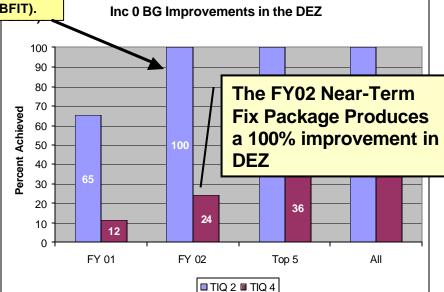
## FY 02 TR Correction Goal

(BFIT\_Data/DEP Environment)

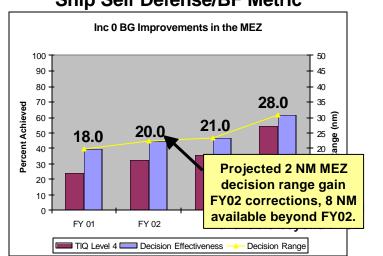


2 Hostile Fighter Divert Combat Air Patrol
2 Fighter Launch Missiles at the CV
ASCM Launches from shore
(Compress Scenario –
CV ~50 NM from Shore)

#### **Battle Force Defense Metric**



#### **Ship Self Defense/BF Metric**

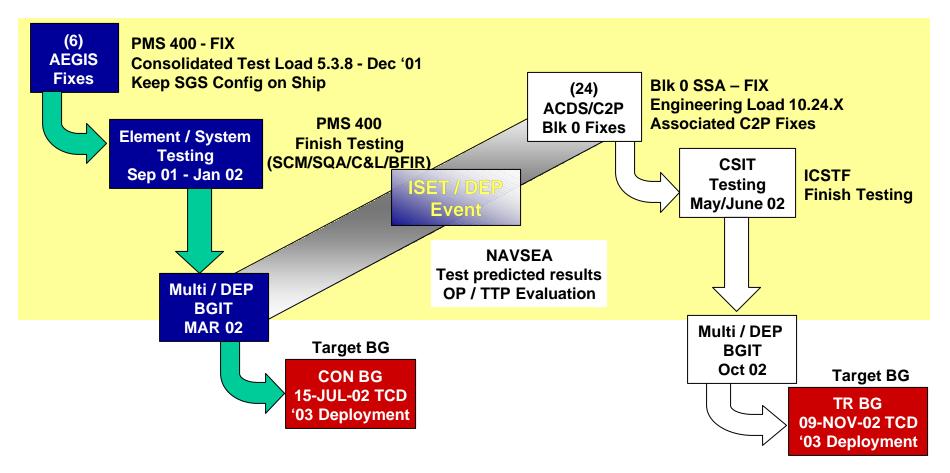




## FY 02 Interoperability Fix Implementation

32 AEGIS Combatants

18 ACDS Block 0 Platforms/C2P



FY 02 Performance Improvement will be measured during THEODORE ROOSEVELT BFIT, 28 October – 20 November 02

11/1



### Fielding Plans

Current BGs within D-30 (09/02)

	CV / CVN				CG			DDG			LHA / D				E2C		DD	FFG		
	BATTLE GROUP	ACDS BLK 0	ACDS BLK 1	ACDS BLK 1 / SSDS MK2 MOD 0	SSDS MK 2 MOD 1	AWS BL 1.4	AWS BL 2.10	AWS BL 5.3/3A.0	AWS BL 6.1	AWS BL 5.3	AWS BL 6.1	AWS BL 6.3	ACDS BLK 1	ACDS BLK 0	SSDS MK 2 MOD 2	GROUP IIX	GROUP IIN	GROUP IIC	+6 TAT SOO	CDS LVL 712//13
-	NIM 03 (09/02)			X					X	X				X				X <sub>s</sub>	X	X
	TR 03 (11/02)	X					X		X	X <sub>3</sub>	X			X		X			X	X
	VIN 04 (02/03)	X					X <sub>1</sub>	X		$X_3$	$X_{2}$			X		Xs	ò			X <sub>3</sub>
	ENT 03 (04/03)	X				X		X		X <sub>3</sub>	8			X			X		X	
	STN 04 (08/03)	X					X			<b>X</b> <sup>1</sup> <b>X</b> <sub>3</sub>				X		X			X	X <sub>2</sub>
	GW 04 (10/03)	X <sub>1</sub>					X	X		X	X			X		X				X
	JFK 04 (01/04)		X <sub>1</sub>						X	$\mathbf{X}_{_{2}}$	X		X					<b>X</b> <sub>s</sub>	X	X
	LIN 05 (05/04)	X					X	X		X <sub>3</sub>	8	X		X			TBD			X

FY02 Corrections Impact

FY03/04 Selection Candidates



#### **BFI OAG Conclusions**

- The BFI OAG process works
  - A Battle Force approach to address the mismatch that occurs from introducing new technology with legacy systems
  - Making decisions based on the Battle Force "system" performance
  - Effective prioritization of limited resources
  - Allows the Fleet and engineering communities to understand the problem from a common frame of reference
- The BFI OAG Process Closes the Loop Systematically
  - Measurable interoperability improvements are being delivered today, USS CONSTELLATION and USS THEORDORE ROOSEVELT Battle Groups
  - BFI OAG process continuing in support of FY 04 and beyond deployers

The BFI OAG is the Navy's arm for improving interoperability to meet performance "mission" requirements



### **BACK-UP SLIDES**



### Analysis Process

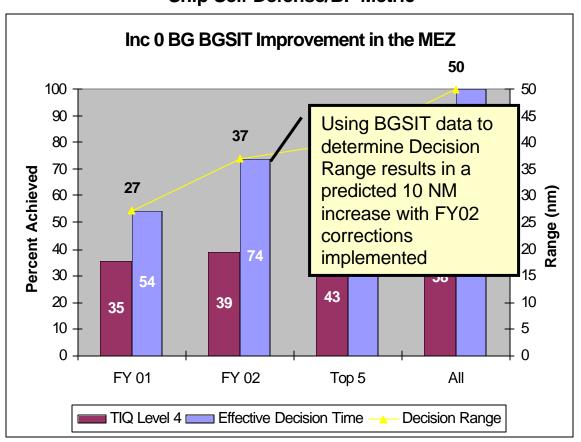
- MEAN BFIR performance baseline calculated based upon 11 characteristic Increment 0 events:
  - Always includes ACDS BLK 0 for CV
  - As defined by BG composition, includes a mixed complement of:
    - > AWS 5.3.7/3A.0.9.2, 2.10, & 1.4
    - E2C Group IIX & IIN
  - 10 Threat Targets per Event
- From measured BFIR event performance, calculated *MEAN*:
  - Probability of: Track Completeness, Track Coherency, Track Accuracy, TN
     Consistency, ID Completeness, ID Accuracy, ID Consistency; from calculated BFIR attributes
  - Probability of achieving TIQ from measured TIQ performance
- Input BFIR data into BFI Qualitative Performance Predication Tool
  - Includes SME input for:
    - Impact of each OAG Category (1-21) to BFIR attribute performance\*
    - Impact of non-Computer Program issues on BFIR attribute performance
  - Calculated TIQ performance gain attributed to OAG specific changes
    - % Gain in probability of achieving TIQ
- Input TIQ performance gain into Air Defense Decision Point (ADDP) model to calculate predicated decision space improvements

\*Captured AAW Mission Prioritization from MIL-STD guidance (1697A, 2167A, 498, etc.)



## FY 02 BG Improvements (BGSIT Data/At-Sea Environment)

#### **Ship Self Defense/BF Metric**



#### **Live Event Notes:**

- 3 BGSITs with varying geometry
- Tracks do not follow constant inbound course
- BG Operators did not elevate ID on Hostiles above ID Unknown in Combat Systems and on TADIL
  - BG agreement on ID determined from decisions to engage
  - Assessment focus track accuracy, coherency & TN stability
- ➤ Less Objects of Interest
- Threat spacing greater in BGSIT than DEP Scenario



## DEPSECDEF

#### **Direction**

- Legacy C2 Interoperable by FY 08
- Implement Strategies by 29 Mar 02
- Ensure PPBS considers legacy I/O funding requirements
- Mission critical C2 systems for the Joint Task Force
- Identify funding for the Family of I/O Operational Pictures (FIOP) as directed by the JROC
- Develop metrics for operationally determining success in overcoming legacy C2 I/O

- b. Under Secretary of Defense (Comptroller): Effective immediately, ensure that the Planning, Programming, Budgeting System considers C2 legacy interoperability funding requirements. Specifically, the Comptroller in coordination with the USD(AT&L), Joint Requirements Oversight Council (JROC), and the DoD Chief Information Officer (CIO) will ensure appropriate funding for a prioritized set of overarching program initiatives beginning in FY03. Initiatives should focus on mission critical C2 interoperability for the Joint Task Force (JTF) and below; the Joint Integration and Interoperability organization; and the Joint Distributed Engineering Plant. Also, identify funding for the Family of Interoperable Operational Pictures (FIOP) as directed by the JROC. Candidate initiatives will be considered for acceleration and FY03 funding based on JROC recommendations and clear benefits to JTF operations.
- c. Joint Forces Command (JFCOM): Address issues and develop material and non-material options to resolve JTF C2 legacy interoperability shortfalls. Forward recommendations to the JROC for prioritization and referral to OSD by March 29, 2002.
- d. Chief Information Officer, DOD: In coordination with the USD (AT&L), the Joint Staff, and JFCOM, identify the key DoD component organizations addressing legacy interoperability, areas of duplication, and opportunities for consolidation or elimination. Provide recommendations to the JROC by March 29, 2002.
- e. Chairman of the Joint Chiefs of Staff: In coordination with the USD (AT&L), CIO, DOT&E, JFCOM, and OSD(PA&E) develop initial metrics for operationally determining success in overcoming legacy C2 interoperability shortfalls. Modify CJCS Instructions, as appropriate by March 29, 2002.
- f. Combatant Commands, Services and Defense Agencies: Identify opportunities for strengthening the implementation of DoD Directive 4630.5 and DoD Instruction 4630.8, Procedures for Interoperability and Supportability of Information Technology (IT) and National Security Systems. Forward recommendations to the CIO by March 29, 2002.

Please direct questions or concerns you may have to Dr. V. Garber, Director, Interoperability, OUSD(AT&L) at (703) 695-9713.

Items highlighted are fundamental elements of the Battle Force Interoperability Operational Advisory Group (BFI OAG)



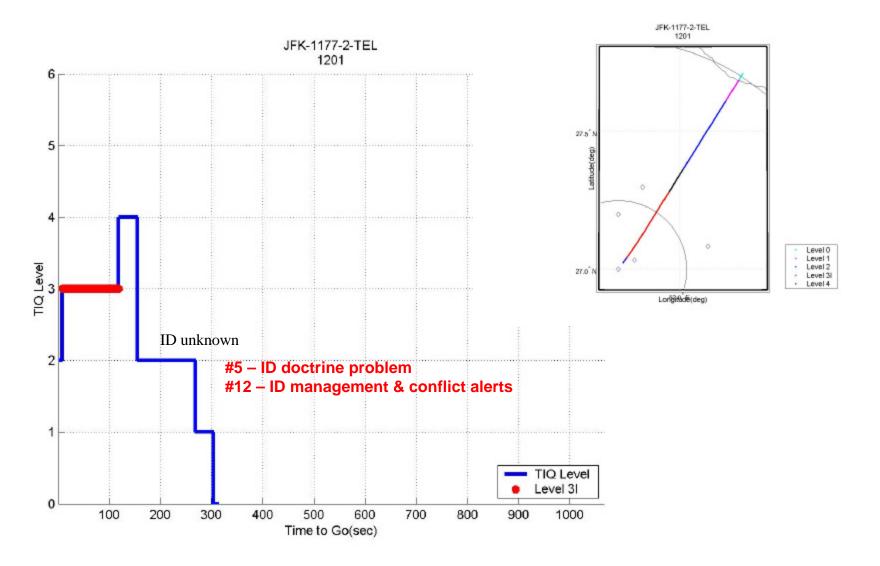
#### **BFI OAG Events To Date**

NAVAL SEA SYSTEMS COMMAND	
<u>Event</u>	<u>Date</u>
BFI OAG ISET, Test Event 3	22-26 Jul 02
FY03 Test Readiness Review	17 Jul 02
BFI OAG Overview, CDR Patterson (CPF)	24 Jun 02
FY02 BFI OAG Test Event 2	13-15 May 02
BFI OAG Key Event 1	09 May 02
Establishment of BFI OAG web-page, https://www.bfims.nswc.navy.mil	May 02
FY02 BFI OAG Test Event 1	29 Apr-02 May 02
BFI OAG Overview, Mr. J. J. Johnson (CLF N65) & Fleet Representatives	22 Mar 02
BFI OAG Overview, CHENG Program Office Staff	20 Mar 02
BFI OAG Overview, C2P/AWS/ACDS Coordination Meeting	14 Mar 02
Battle Force Interoperability, Mr. Wynne (PDUSD)	12 Mar 02
BFI Fixes 2002-2004, Ms. Monica Shephard (CLF N6)	Dec 01
ROM for I/O Fixes in 2002, RADM Balisle (N76)	25 Oct 01
An Approach to I/O Improvements, Mr. Altwegg (DASN TCS)	Sept 01
An Approach to I/O Improvements, RADM Balisle (N76)	21 Aug 01
I/O Improvements, Stepping Up to Mission Capability, RADM Bowler (N70)	17 Jul 01
I/O Issue Resolution, RADM MOE (ACNO MD)	Jul 01

31 May 01



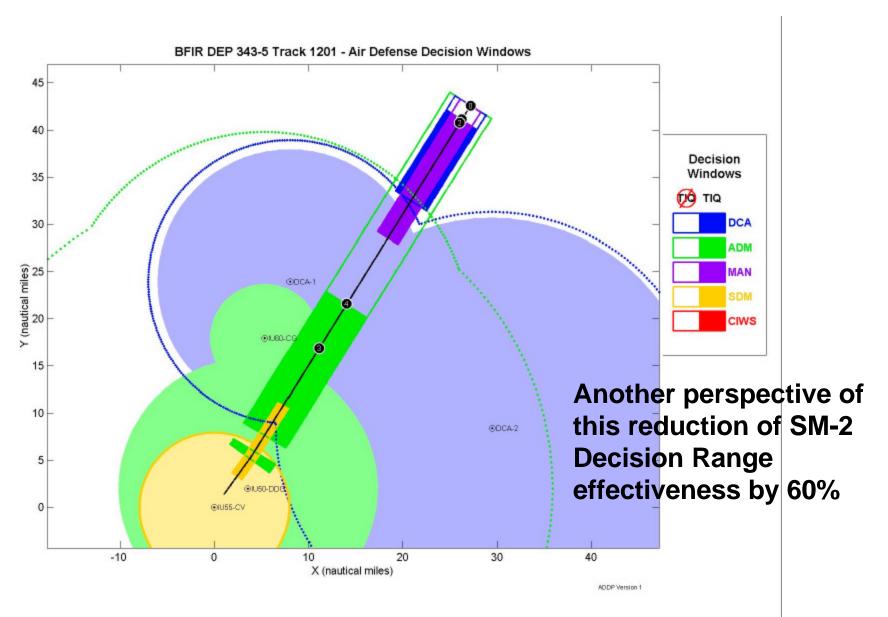
## A single track example (land launched cruise missile)





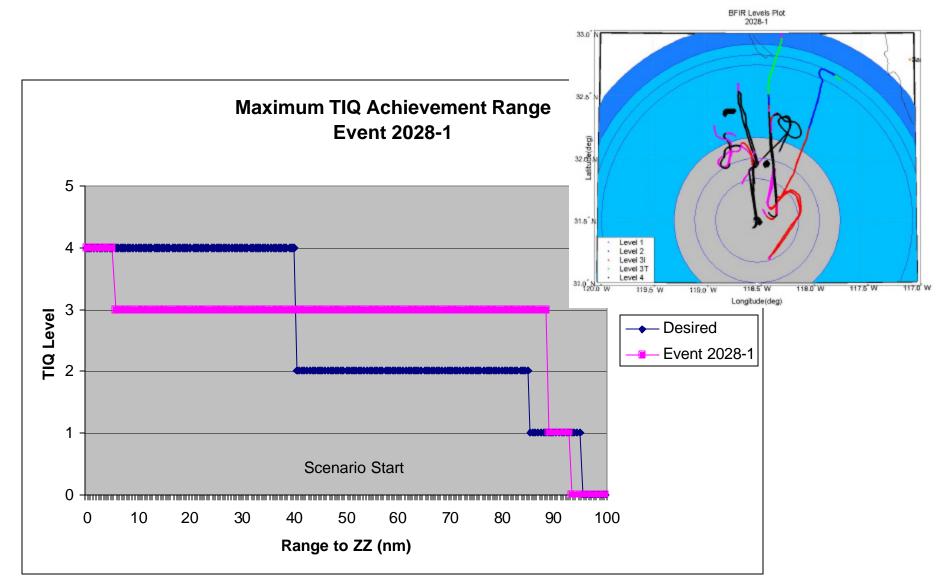
## ADDP single track example

(land launched cruise missile)





### TIQ first achieved plot





### FY03/04 Objectives

- Correct Fleet Interoperability Issues FY03 Baseline
  - 5,300 Open BFIT and BGSIT Issues captured in 21 Problem Areas
- Focus on Warfighter benefit in Anti-Air Warfare (AAW) environment
  - Ensure information is available to support Force-Level engagement decisions
  - Improve Situational Awareness
- Capture issues reported by the Fleet
  - Focus on Post acquisition / life-cycle maintenance issue sources
  - Correct issues that the Fleet has reported and/or recognizes
  - Emphasize Fleet issues not fixed by other on going acquisition upgrades

Improve Battle Force
Operational Performance and Situational Awareness



# FY03/04 Issue Filter and Categorization

- Combine sources to single file (~5,300)
  - Includes Open & Closed
- Omitted
  - Non-Interoperability
    - ➤ Hardware, Info Exchange Effectiveness, Operator Error, Comm Faults
  - Non-AAW
  - Issues written Prior to 1999
  - Issues were vague and exact issues could not be determined from problem description
- Categorize Open Issues (~1,200)
  - Does not include Open-C and Open-E Issues
  - 21 AAW Categories Created
  - Prioritized Categories
  - Described Operational Impact for each Category
- ~829 Issues Identified and Mapped to Categories



## Key Event 1 COMPLETED

WHEN: May 09, 2002

WHAT: BFI OAG Fleet Requirements Meeting

WHO: OPNAV, CFFC, BGSIT LANT & PAC, CCDG1, CCG4,

TACTRAGRUS, SWDG, ATRC, NAVSEA, SPAWAR, NCTSI

WHERE: DAMNECK, VA

#### WHY:

- Review Categorized BFI Deficiency List
- Get Fleet input/requests on work package development objectives \*
- \* Driver of root-cause efforts, scoped alternatives to be prioritized by OAG.

#### HOW:

- Announce via message COMFLTFORCOM 182011Z APR 02
- Co-Chaired by CFFC N65 and NAVSEA 53
- Facilitated by NAVSEA Dahlgren/Team
- Minutes/Results published on BFIMS with summary message tickler.
   COMFLTFORCOM 181514Z JUN 02

Key Results and Briefs Published at https://bfims.nswc.navy.mil



### Key Events 2 and 3

**Key Event 2** 

WHEN: Late September 2002 WHAT: Preliminary BFI OAG

(at the 0-5 level)

WHO: OPNAV, CFFC, CCDG1, CCG4,

BGSIT LANT & PAC, SWDG,

TACTRAGRUS, ATRC, NAVSEA

and PMO's

WHERE: DAMNECK, VA

WHY:

FY02 BFI OAG Brief-out

Review FY03/04 Candidate
 Work packages

 Input Priorities for Flag BFI OAG  Formal list of program CPCRs/TRs to be corrected

ROM Implementation Costs & Schedules Impacts

#### HOW:

- Announce via message
- Co-Chaired by CFFC N65, OPNAV N76, NAVSEA 53
- Facilitated by NAVSEA Dahlgren/Team
- Minutes/Results published on BFIMS with summary message tickler.

#### Key Event 3

October 2002 BFI OAG Meeting (O-6/O-7 level)

OPNAV, NAVSEA, PEO, CFFC

#### **WASHINGTON DC**

- 1. FY02 BFI OAG Brief-out
- FY03/04 Candidate Work package Review/Prioritization
- 3. Document Annual BFI Priorities
- 4. Document funding agreements
- 1. Announce via message
- 2. Chaired by OPNAV N76
- 3. Facilitated by NAVSEA 53
- 4. Minutes/Results published on BFIMS with summary message tickler.

31

Key Results and Briefs published at https://bfims.nswc.navy.mil



- 1. Identify Sources & Issues
- 2. Filter Open" 3. Categorize Issues

## Methodology

8. Approval/Fund

4. Validate ssue

- 7. Develop FY Work Package(s)
- 6. Coordinate w/SSA's

5. Conduct **Performance** Assessment